SOM OP: 20.01 SOM Faculty Appointments

PURPOSE: The purpose of this School of Medicine (SOM) Policy and Procedure is to establish procedures and requirements for SOM faculty appointments.

REVIEW: This SOM Policy and Procedure shall be reviewed within each odd-numbered fiscal year by the Faculty Appointments Committee. Revisions will be forwarded from the Office of Faculty Recruitment, Affairs and Development (OFRAD) to the Office of the Dean for approval and publication.

POLICY/PROCEDURE:

1. General. This policy is intended to provide procedures for acquiring SOM faculty appointments in accordance with HSC OP 60.09, Faculty Recruitment Procedure, HSC OP 60.01, Tenure and Promotion Policy, and SOM OP 20.21, Faculty Tenure and Promotion.

2. Qualifications for Faculty Appointments. Faculty in the ranks of Instructor through Professor in both tenure and non-tenure track positions, paid and non-paid, must possess terminal degrees, (e.g., Ph.D., M.D., D.O., or equivalent). Other, term appointments, non-paid, require an appropriate professional degree, [i.e., Librarians (MLS), Physician Assistants (PA), Nurse Practitioners (NP)], etc. Exceptions to the above requirements must be approved by the Dean.

3. Process Steps. These steps should be followed when processing a full-time, part-time paid or non-paid faculty appointment.

<table>
<thead>
<tr>
<th>Full-Time Paid, .50-.100 FTE Tenure &amp; Non-Tenure Track</th>
<th>Term Appointments with Qualifying Conditions Paid, .01-.49 FTE All Non-Tenure Track</th>
<th>Other term, non-paid appointments with Qualifying Conditions</th>
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<td>A full list of titles is located on: HSC OP 60.01</td>
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1. Need for additional faculty is identified, request to recruit is generated by the hiring department and recruiting department.

2. Request to Recruit is submitted to the Recruiting and Retention Steering Committee, by the Office of Faculty Recruiting, after financial approval is obtained per campus.

3. Department begins the recruitment process in accordance with HSC OP 60.09.
   A. Top candidate is identified and department requests a Letter of Offer be sent to the candidate.

4. Candidate’s packet is submitted by the department to OFRAD and includes:
   - Current curriculum vitae
   - Written documentation of 2 communications with references.
   - Letter from the Chair of the department to FAC Chair requesting consideration and approval of faculty appointment. Letter should include: Dept/Division, Rank, Tenure or Non-Tenure, Role in Department and Statement of Verification of Training & Licensure
   - If EEO Certification has been obtained, Attachment D is not required. If it has not been obtained, you must complete and Candidate’s packet is submitted by the department to OFRAD and includes:
   - Current curriculum vitae
   - Written documentation of 2 communications with references.
   - Letter from the Chair of the department to FAC Chair requesting consideration and approval of faculty appointment. Letter should include: Dept/Division, Rank, Non-Tenure, Role in Department and Statement of Verification of Training
### Operating Policy and Procedure

<table>
<thead>
<tr>
<th>Full-Time Paid, .50-1.00 FTE Tenure &amp; Non-Tenure Track</th>
<th>Term Appointments with Qualifying Conditions Paid, .01-.49 FTE All Non-Tenure Track</th>
<th>Other term, non-paid appointments with Qualifying Conditions</th>
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<tbody>
<tr>
<td>sign <a href="#">HSC OP 60.09 Attachment D</a> with candidate/applicant demographic data</td>
<td>&amp; Licensure (printout from TMB website if applicable)</td>
<td>□ Current email and mailing address</td>
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<tr>
<td>□ Interview Comments from Faculty &amp; Executive Administration</td>
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<tr>
<td>□ Interview Comments from 1 member of Faculty Appointments Committee. <em>(If available)</em></td>
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<td>□ If Applicable: Interview Comments Clinical Research Institute Director/Staff are highly encouraged.</td>
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5. Candidate is submitted to FAC at the next meeting, and the department is notified of the decision.

6. OFRAD will route the appropriate offer letter/agreement to the department for completion. Once the department completes the required information, the agreement/offer letter is routed back to OFRAD, MPIP (if applicable) and General Counsel.

7. The agreements are sent to the candidate to be signed by candidate and spouse, if applicable.

8. Agreements are returned to OFRAD and routed for signatures to the Department Chair, Dean and President.

9. Agreements are retained, as necessary, and distributed to the department and HR. Department provides fully executed agreement to candidate.

10. An ePAF is generated prior to or upon the start date of the faculty member.

11. MANDATORY SACS accreditation required documents to be stored and updated by the department prior to candidate start date.
   - Original (official) transcript,
   - Certification of equivalency or ECFMG for international graduates,
   - Board certification certificate (current)
   - Curriculum Vitae (current)

4. **Joint Appointments:** One letter from each department chair, or one letter with both department chairs’ signatures, to the dean, requesting appointment.

5. **Emeritus Appointments:** Professor or Associate Professor at retirement and at least 10 years of service conferred as a recognition for long and faithful service or for “very distinguished service”. See [HSC OP 10.12 Emeritus Appointments](#) and [Regents’ Rules 04.01.2](#).

6. **Research Track Faculty Appointments:** Faculty with the rank of Research Assistant/Research Associate Professor, who want to move to an Assistant/Associate Professor, non-tenure track, will need their Department Chair to submit a letter to the Dean requesting this change in track. If approved, the faculty member will remain on the non-tenure track for a minimum of 2-years before switching to the tenure track.

7. **Department Chair Appointments:** A search committee, appointed by the School of Medicine Dean, facilitates the search for a department chair. Faculty Appointment Committee members are not required to interview these candidates. These searches must meet Provost Guidelines.